

Annexure VII

ARX|PANGAIA Disclosure Document

Company Name:
ARX Pangaia (Pty) Ltd

Company Registration Number:
2012/217911/07

Shareholders:
20% Ordinary Shares held by Kaqala Investments 41 P/L- Dugmore Family the Ultimate Beneficial Owner
30% Ordinary Shares held by Ahkuna Matata Trust – Dugmore Family the Ultimate Beneficial Owner
50% Ordinary Shares held by ARX Pangaia B.V. - Human Family the Ultimate Beneficial Owner
Class A Shares held by Employees

Financial Services Provider Number:
46078

Directors:
Jacobus Johannes Human
Lowina Frederika Dugmore

Key Individual:
Lowina Frederika Dugmore

Representatives
Isel van der Merwe
Brendon Shaw
Johann Biermann
Grant Schuin

Registered Physical Address:
5th Floor, Protea Place
c/o Protea Road and Dreyer Street
Claremont
7708

Registered Postal Address:
5th Floor, Protea Place
c/o Protea Road and Dreyer Street
Claremont
7708
Tel: 021 671 6904

Contact Persons:		
Grant Schuin:	Mobile: +27823355563	Email: gschuin@arxinvest.com
Isel van der Merwe:	Mobile: +27825809062	Email: ivandermerwe@arxinvest.com
Brendon Shaw:	Mobile: +27845873804	Email: bshaw@arxinvest.com

Compliance:
Beanke Vermeulen
Multitask
Tel: 021 851 4491
Fax: 021 851 4491

Legal Capacity:

ARX|PANGAIA is a financial services provider in terms of the FAIS Act with FSP Number 46078 and holds Category I and II Licences. Category I permits ARX|PANGAIA to provide non-automated advice and/or intermediary service to ARX|PANGAIA's clients and Category II permits ARX|PANGAIA to provide a discretionary management service and/or intermediary service to ARX|PANGAIA's clients. ARX|PANGAIA is licensed in the following categories:

Category Description	Advice	Intermediary
CATEGORY I		
Long-Term Insurance : Category C	X	X
Securities and Instruments : Shares	X	X
Securities and Instruments : Money market instruments	X	X
Securities and Instruments : Bonds	X	X
Securities and Instruments : Derivative instruments	X	X
Participatory interests in Collective Investment Schemes	X	X
Foreign currency denominated investment instruments	X	X
Deposits Defined in the Banks Act - exceeding 12 months	X	X
Deposits defined in the Banks act - 12 months or less	X	X
CATEGORY II - Discretionary FSP		
Retail Pension Benefits		X
Pension Funds Benefits (excluding retail pension benefits)		X
Securities and Instruments : Shares		X
Securities and Instruments : Money market instruments		X
Securities and Instruments : Debentures and securitised debt		X
Securities and Instruments : Warrants, certificates and other instruments		X
Securities and Instruments : Bonds		X
Securities and Instruments : Derivative instruments		X
Participatory interests in Collective Investment Schemes		X
Foreign currency denominated investment instruments		X

Professional Indemnity Insurance

Professional Indemnity is arranged via Chubb European Group SE up to a maximum limit of indemnity of EUR 1m.

Fees

Fees will be levied as stipulated in the client mandate.

Interest in Insurers

Neither the company nor any director hold a shareholding of more than 10% in any registered financial services provider, classified as an insurer.

Conflict of Interest Policy and Complaints Resolution System

A copy of our Conflict of Interest Policy and Complaints Resolution System can be obtained from our offices.

The Client:

The Client:

Name:

Name:

Date:

Date:

Place:

Place:

Signature:

Signature:
